



PATENT

Case Docket No. AXIAMD.006A

Date: May 30, 2002

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Andrew H. Cragg, M.D., et al.

Appl. No. : 09/782,534

Filed : February 13, 2001

For : APPARATUS FOR  
PERFORMING A  
DISCECTOMY THROUGH A  
TRANS-SACRAL AXIAL  
BORE WITHIN THE  
VERTEBRAE OF THE SPINE

Examiner : Unknown

Group Art Unit : 3731

I hereby certify that this correspondence and all marked  
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Office, P.O. Box 2327, Arlington, VA 22202, on

May 30, 2002

(Date)

*Gerard von Hoffmann*  
Gerard von Hoffmann, Reg. No. 33,043

## TRANSMITTAL LETTER

United States Patent and Trademark Office  
P.O. Box 2327  
Arlington, VA 22202

ATTENTION: APPLICATION BRANCH

Dear Sir:

Enclosed for filing in the above-identified application are:

- (X) A Supplemental Information Disclosure Statement.
- (X) A PTO Form 1449 with thirty-four (34) references.
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 11-1410.
- (X) Return prepaid postcard.

*Gerard von Hoffmann*  
Gerard von Hoffmann

Registration No. 33,043  
Attorney of Record

#9

AXIAMD.006



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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

United States Patent and Trademark Office  
P.O. Box 2327  
Arlington, VA 22202

Dear Sir:

Enclosed is form PTO-1449 listing thirty-four (34) references that are also enclosed. This Supplemental Information Disclosure Statement is being filed before the receipt of a first Office Action on the merits, and presumably no fee is required in accordance with 37 C.F.R. § 1.97(b)(3). If a first Office Action on the merits was mailed before the mailing date of this Statement, the Commissioner is authorized to charge the fee set forth in 37 C.F.R. § 1.17(p) to Deposit Account No. 11-1410.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: May 30, 2002

By: Gerard von Hoffmann  
Gerard von Hoffmann  
Registration No. 33,043  
Attorney of Record  
620 Newport Center Drive  
Sixteenth Floor  
Newport Beach, CA 92660  
(949) 760-0404

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. AXIAMD.006A	APPLICATION NO. 09/782,534
SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT  (USE SEVERAL SHEETS IF NECESSARY)	APPLICANT Andrew H. Cragg, M.D., et al.	
	FILING DATE February 13, 2001	GROUP 3731

U.S. PATENT DOCUMENTS							
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)	
1	4,844,088	07/04/1989	Kambin				
2	5,171,279	12/15/1992	Mathews				
3	5,242,443	09/07/1993	Kambin				
4	5,357,983	10/25/1994	Mathews				
5	5,395,317	03/07/1995	Kambin				
6	5,480,440	01/02/1996	Kambin				
7	5,496,322	03/05/1996	Mathews				
8	5,545,228	08/13/1996	Kambin				
9	5,558,674	09/24/1996	Heggeness, et al.				
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12	5,630,816	05/20/1997	Kambin				
13	5,665,122	09/09/1997	Kambin				
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15	5,741,253	04/21/1998	Michelson				
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17	6,010,502	01/04/2000	Bagby				
18	6,033,406	03/07/2000	Mathews				
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21	6,264,656 B1	07/24/2001	Michelson				
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23	6,379,334 B1	04/30/2002	Frassica				
24	6,387,130 B1	05/14/2002	Stone, et al.				

EXAMINER	DATE CONSIDERED
<p>*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.</p>	

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE  <b>SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT          BY APPLICANT</b>  (USE SEVERAL SHEETS IF NECESSARY)	ATTY. DOCKET NO. AXIAMD.006A	APPLICATION NO. 09/782,534
	APPLICANT Andrew H. Cragg, M.D.	
	FILING DATE February 13, 2001	GROUP 3731

FOREIGN PATENT DOCUMENTS								
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	25	EP 0 611 116 B1	04/02/1994	Europe				
	26	WO 95/22285	08/24/1995	PCT				
	27	WO 97/40878	11/06/1997	PCT				
	28	WO 98/38918	09/11/1998	PCT				
	29	WO 02/13732 A2	02/21/2002	PCT				

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)	
	30	Hallett H. Mathews, M.D., "Minimally Invasive Fusion Techniques, Percutaneous Interbody Fusions," <u>Orthopedic Clinics of North America</u> , October 1998, Volume 29, Number 4.
	31	Parviz Kambin, M.D., et al., "Arthroscopic Microdiscectomy: An Alternative to Open Disc Surgery," <u>The Mount Sinai Journal of Medicine</u> , September 2000, Vol. 67, No. 4.
	32	Hallett H. Mathews, M.D., et al., "Perspectives on Modern Orthopaedics, Minimally Invasive Techniques for the Treatment of Intervertebral Disk Herniation," <u>Journal of the American Academy of Orthopaedic Surgeons</u> , March/April 2002, Vol. 10, No. 2.
	33	Parviz Kambin, M.D., "Percutaneous Spine Techniques, Diagnostic and Therapeutic Spinal Arthroscopy," <u>Neurosurgery Clinics of North America</u> , January 1996, Volume 7, Number 1.
	34	Parviz Kambin, M.D., et al., "Arthroscopic Discectomy of the Lumbar Spine," <u>Clinical Orthopaedics and Related Research</u> , April 1997, Number 337.

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